

COPY OF PAPERS
ORIGINALLY FILED

1 1/2 a
m Jones
7-17-02
PATENT

DOCKET NO.: CRTW-0004

202-27-1
203-401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shawn D. Cartwright

Serial No.: 09/676,448

Group Art Unit: 2161

Filed: September 29, 2000

Examiner: Not Yet Assigned

For: **SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND
TRANSACTIONING THE SAME**

I, George J. Awad, Registration No. 46,528 certify that this
correspondence is being deposited with the U.S. Postal Service as First-
Class mail in an envelope addressed to the Assistant Commissioner for
Patents, Washington, D.C. 20231.

On May 1, 2001

George J. Awad
Registration No. 46,528

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

PRELIMINARY AMENDMENT

Please amend this application as follows:

IN THE CLAIMS:

Please add the following claims:

01 -- 44. The system recited in claim 6, wherein said transaction system comprises any
of a direct-pay transaction system, a credit-based transaction system, an incentive-based
transaction system, and an auction based transaction system.